

AUDIT & GOVERNANCE COMMITTEE
MEETING DATE 23rd NOVEMBER 2022

WORK PLAN

Meeting date at which action to be undertaken	Subject and Brief Description	Who will present the report	Intended Outcome
1 February 2023	Annual Audit Letter 2021/22	David Hoose (Mazars)	Gain assurance on the Council's Statement of Accounts and arrangements for achieving Value for Money
	Draft Treasury Strategy 2023/24	Andrew Snape	Gain assurance that risks in relation to the Council's treasury management activities are to be managed in accordance with need and the Council's risk appetite
	Draft Capital Strategy 2023/24	Andrew Snape	Outlines the principles and framework that shape the Council's capital proposals
	Draft Investment Strategy 2023/24	Andrew Snape	The investment strategy is a new report for 2019/20, meeting the requirements of statutory guidance issued by the government.
	Internal Audit Progress Report 2022/23	Lucy Pledge/McJoy Nkhoma (Assurance Lincolnshire)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Review of significant internal control issues highlighted in the Annual Governance Statement	Nick Wilson	Gain assurance that the Council is making progress on any governance issues that were raised in the AGS
	Regulation of Investigatory Powers Act Annual Report	Sue Bearman	To provide details of any RIPA activity undertaken in 2022/3
	Whistleblowing Policy	Sue Bearman	To review the Council's current Whistleblowing Policy
	Gifts and Hospitality	Sue Bearman	To review the Council's Gifts and Hospitality Policy and Guidance
26 April 2023	Risk Management report	Richard Bates	Gain assurance that appropriate risk management arrangements are in place
	Statement of Accounting Policies 2022/23	Andrew Snape	Gain assurance that the Council has appropriate accounting policies in place that

			reflect the way items are treated in the annual Statement of Accounts
	Counter-Fraud Activity Report	Nick Wilson	Gain assurance that counter-fraud activity is appropriately targeted and effective
	Fraud Risk Assessment	Nick Wilson	Gain assurance that the Council understands its fraud risks and that actions are put in place to address them
	IAS19 Pension Assumptions 2022/23	Andrew Snape	Gain assurance that the pension assumptions used by the actuary to produce the figures in the Statement of Accounts are appropriate for the Council's circumstances
	Property, Plant and Equipment Valuation Assumptions 2022/23	Andrew Snape	Gain assurance that the assumptions used by the Council's valuers to produce the figures in the Statement of Accounts are appropriate for the Council's circumstances
	Annual Internal Audit Plan 2023/24	Lucy Pledge/McJoy Nkhoma (Assurance Lincolnshire)	Ensure that an appropriate plan is in place which will provide assurance on the Council's activities
	Internal Audit Progress Report 2022/23	Lucy Pledge/McJoy Nkhoma (Assurance Lincolnshire)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Code of Conduct Annual Report	Sue Bearman	To provide details of Code of Conduct complaints received in 2022/23
	Independent Persons	Sue Bearman	To consider the appointment of the Council's Independent Persons